

**BLAKELY  
SOKOLOFF  
TAYLOR &  
ZAFMAN**

A LIMITED LIABILITY  
PARTNERSHIP INCLUDING  
LAW CORPORATIONS

8055 E. Tufts Ave., Suite 1300  
Denver, Colorado 80237  
(303)740-1980 (Telephone)  
(303)740-8962 (Facsimile)

**RECEIVED  
CENTRAL FAX CENTER**

JUN 20 2005

**FACSIMILE TRANSMITTAL SHEET (TRANSMITTAL TO PTO)**

Deliver to: TC3600  
Firm Name: U.S. PATENT AND TRADEMARK OFFICE  
Fax Number: 703-872-9306  
From: Gordon Lindeen  
Date: 06/20/05 Time: \_\_\_\_\_  
Operator: Debbie Casias Matter: 42390P10501  
Number of pages including cover sheet: \_\_\_\_\_  
In Re Patent Application of: Timothy R. Collier  
Application No.: 09/753,033  
Filed: December 30, 2000  
For: A Tentative - Hold Based Protocol Fee Distributed Transaction Processing

Enclosed are the following documents: Transmittal Form, Fee Transmittal;  
Response to Office Action (11 pages)

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on:

Date of Transmission 06/20/2005

Debbie Casias

(Typed or printed name of person transmitting paper)

Debbie Casias

(Signature of person transmitting paper)

**CONFIDENTIALITY NOTE**

The documents accompanying this facsimile transmission contain information from the law firm of Blakely Sokoloff Taylor & Zafman LLP that is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this faxed information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

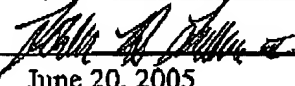
IF YOU EXPERIENCE ANY DIFFICULTY IN RECEIVING THE ABOVE PAGES, PLEASE CALL (303) 740-1980 AND ASK FOR THE OPERATOR NAMED ABOVE.


**RECEIVED  
OIPE/IAP**

JUN 21 2005

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application No.	09/753,033
		Filing Date	December 30, 2000
		First Named Inventor	Timothy R. Collier
		Art Unit	3639
		Examiner Name	Woo, Richard S.
Total Number of Pages in This Submission	12	Attorney Docket Number	42390P10501

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">         Certificate of facsimile       </div>
Remarks		

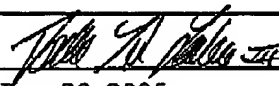
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gordon R. Lindeen III, Reg. No. 33,192 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	June 20, 2005


CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being transmitted via facsimile on the date shown below to the United States Patent and Trademark Office.			
Typed or printed name	Debbie Casias		
Signature		Date	June 20, 2005

Based on PTO/SB/21 (04-04) as modified by Blakely, Sokoloff, Taylor & Zafman (w/r) 06/04/2004.  
 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/112,344
		Filing Date	March 28, 2002
		First Named Inventor	
		Art Unit	2112
		Examiner Name	Lee, Christopher
Total Number of Pages In This Submission	17	Attorney Docket Number	42P13567

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Response  <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)  <div>Remarks</div>	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div>Certificate of facsimile</div>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gordon R. Lindeen III, Reg. No. 33,192
Signature	
Date	June 20, 2005

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being transmitted via facsimile on the date shown below to the United States Patent and Trademark Office.			
Typed or printed name	Debbie Casias		
Signature		Date	June 20, 2005

Based on PTO/SB/21 (04-04) as modified by Blasko, Solokoff, Taylor & Zafman (wtr) 02/04/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450

# FEE TRANSMITTAL for FY 2005

*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 50.00

## Complete if Known

Application Number 09/753,033  
Filing Date December 30, 2000  
First Named Inventor Timothy R. Collier  
Examiner Name Woo, Richard S.  
Art Unit 3639  
Attorney Docket No. 42390P10501

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):  
☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

### 1. EXTRA CLAIM FEES

Total Claims	Edin Claims	Fee from below	Fee Paid
24	23	1	50.00
6	6	0	200.00
Multiple Dependent			
Large Entity	Small Entity		
Fee Code	Fee Code	Fee Description	
1202 50	2202 25	Claims in excess of 20	
1201 200	2201 100	Independent claims in excess of 3	
1203 360	2203 180	Multiple Dependent claim. If not paid	
1204 300	2204 150	**Reissue independent claims over original patent	
1205 300	2205 150	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (1)		(5)	50.00

\*or number previously paid, if greater. For Reissues, see below

### 2. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath			
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet			
2053 130	2053 130	Non-English specification			
1251 120	2251 60	Extension for reply within first month			
1252 450	2252 225	Extension for reply within second month			
1253 1,020	2253 510	Extension for reply within third month			
1254 1,680	2254 785	Extension for reply within fourth month			
1255 2,160	2255 1,080	Extension for reply within fifth month			
1401 500	2401 250	Notice of Appeal			
1402 500	2402 250	Filing a brief in support of an appeal			
1403 1,000	2403 500	Request for oral hearing			
1451 1,510	2451 1,510	Petition to institute a public use proceeding			
1460 130	2460 130	Petitions to the Commissioner			
1807 50	1807 50	Processing fee under 37 CFR 1.17(g)			
1806 180	1806 180	Submission of Information Disclosure Stmt			
1808 780	1808 395	Filing a submission after final rejection (37 CFR § 1.129(a))			
1810 790	2810 395	For each additional invention to be examined (37 CFR § 1.129(b))			
Other fee (specify)					
SUBTOTAL (2)					

(5)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Gordon R. Lindeen III Registration No. 33,192 Telephone (303) 740-1980  
Signature [Signature] Date 06/20/05

Based on PTO/SB-17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (vtr) 12/15/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**RECEIVED  
CENTRAL FAX CENTER**

JUN 20 2005

Our Docket No: 42P10501

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Collier, T.

Examiner: Woo, R.

Application No: 09/753,033

Art Unit: 3639

Filed: December 30, 2000

For: A Tentative-Hold Based Protocol for  
Distributed Transaction Processing

## RESPONSE TO OFFICE ACTION

Mail Stop Amendment  
Commissioner for Patents  
P.O Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed March 24, 2005, Applicant respectfully requests the

Examiner to enter the following amendment and to consider the following remark.

06/21/2005 KBETEMAI 00000049 022666 09753033

01 FC:1202 50.00 DA

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark

Office on:

Date of Transmission June 20, 2005Debbie Casias

(Typed or printed name of person transmitting paper)

Debbie Casias

(Signature of person transmitting paper)

Attorney Docket No. 42P10501  
Application No. 09/753,033

1